

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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Applicant's or agent's file reference 5468-102WO	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US03/19489	International filing date (day/month/year) 19 June 2003 (19.06.2003)	Priority date (day/month/year) 19 June 2002 (19.06.2002)
International Patent Classification (IPC) or national classification and IPC IPC(7): G06F 7/00 and US Cl.: 715/513, 514, 522-523		
Applicant JMARC TECHNOLOGIES, LLC.		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>5</u> sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of <u>   </u> sheets.</p> <p>3. This report contains indications relating to the following items:</p> <p>I <input checked="" type="checkbox"/> Basis of the report</p> <p>II <input type="checkbox"/> Priority</p> <p>III <input type="checkbox"/> Non-establishment of report with regard to novelty, inventive step and industrial applicability</p> <p>IV <input type="checkbox"/> Lack of unity of invention</p> <p>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI <input type="checkbox"/> Certain documents cited</p> <p>VII <input type="checkbox"/> Certain defects in the international application</p> <p>VIII <input type="checkbox"/> Certain observations on the international application</p>		
Date of submission of the demand 20 November 2003 (20.11.2003)	Date of completion of this report 14 September 2004 (14.09.2004)	
Name and mailing address of the IPEA/US Mail Stop PCT, Attn: IPEA/ US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230	Authorized officer CESAR B. PAULA Telephone No. (703) 305-3900	

## I. Basis of the report

## 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed.
- ☒ the description:  
pages 1-11 as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.
- ☒ the claims:  
pages 12-16, as originally filed  
pages NONE, as amended (together with any statement) under Article 19  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.
- ☒ the drawings:  
pages 1-20, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.
- ☒ the sequence listing part of the description:  
pages NONE, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.

## 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

- ☒ the description, pages NONE
- ☒ the claims, Nos. NONE
- ☒ the drawings, sheets/fig NONE

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International Application No.  
PCT/US05/19489**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. STATEMENT**

Novelty (N)	Claims <u>NONE</u>	YES
	Claims <u>1-24</u>	NO
Inventive Step (IS)	Claims <u>NONE</u>	YES
	Claims <u>1-24</u>	NO
Industrial Applicability (IA)	Claims <u>1-24</u>	YES
	Claims <u>NONE</u>	NO

**2. CITATIONS AND EXPLANATIONS**

Please See Continuation Sheet

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Claims 1-24 lack novelty over DeRose (6,167,409).

Regarding independent claim 1, DeRose teaches the creation and generation of markup language documents, such as HTML, which contain cross-referencing links—hierarchical navigational elements— and linear navigational elements, such as table of contents, bookmarks, etc. (col. 7, lines 3-30, col. 8, lines 17-38, and col.11, lines 15-62).

Moreover, DeRose teaches a computer system for the creation and generation of markup language documents, from a source documents—books, manuals, etc, in a format such as SGML, into another target format, such as HTML—*series of HTML files*— (col. 7, lines 3-30, and 40-67).

Moreover, DeRose teaches the creation of a tree representing a hierarchical relationship between the markup document and its elements—*at least two hierarchical levels established*. The tree divides the document up into many sections, subsections, etc—page nodes which are arranged into a sequential ordering (col. 8, lines 1-67, and fig.3-4).

Moreover, DeRose teaches the creation, and storing of a stylesheet(s)—*templates*— for describing the display format of the documents (col. 13, lines 21-col.16, line 67).

Furthermore, DeRose teaches the conversion of the source SGML documents into HTML documents, and the rendering in a client computer system using the stylesheets and the documents hierarchical relationships (col. 7, lines 3-67, and col. 13, lines 24-67).

Regarding claim 2, which depends on claim 1, DeRose teaches a computer pointer device or mouse, which as was well known in the art is used for dragging and dropping document objects (col. 7, lines 30-67).

Regarding claim 3, which depends on claim 1, DeRose teaches stylesheets having a mapping table with elements, such as SGML tags—*template elements*—and the substituting HTML codes—*template substitution codes* (col. 12, lines 10-67, and col. 13, lines 30-67).

Regarding claim 4, which depends on claim 3, DeRose teaches the translation or substitution of the SGML source documents into the HTML documents in accordance with the mapping table of the stylesheets—*template substitution codes* (col. 12, lines 10-67, and col. 13, lines 30-67).

Regarding claim 5, which depends on claim 4, DeRose teaches the translation using the stylesheets. In other words, whenever codes in stylesheets indicate that a conversion is to be made (col. 12, lines 10-67, and col. 13, lines 30-67).

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**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Regarding claim 6, which depends on claim 1, DeRose teaches the translation, and rendering of the converted or final documents is done over WWW-*Internet*- (col. 7, lines 3-41).

Regarding claim 7, which depends on claim 6, DeRose teaches the rendering of the converted or final documents is done using a browser-*Internet reader* (col. 7, lines 16-30).

Claims 8-16 are directed towards a method for implementing the steps found in claims 1-8 respectively, and are therefore similarly lack novelty.

Claims 17-24 are directed towards a computer program product for storing, and implementing the steps found in claims 1-8 respectively, and are therefore similarly lack novelty.

————— NEW CITATIONS —————